

BASIC INFORMATION

Business name	Business ID / registration number
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ULTIMATE BENEFICIAL OWNER

The Act on Money Laundering and the EU's Anti-Money Laundering Directive require the collection of information on and identification of ultimate beneficial owners (UBO). Evli is required to identify and maintain sufficient, accurate and up-to-date information on an entity's ultimate beneficial owners and, where necessary, to verify their identity.

A beneficial owner is a natural person who exercises control in a company. A natural person has control if he/she, directly or indirectly, a) owns more than 25% of the shares or units or otherwise owns a corresponding share in the legal person, b) holds more than 25% of the votes conferred by shares or units, and this amount of votes is based on ownership, membership, the Articles of Association, partnership agreement or comparable rules, or c) otherwise has effective control over a legal entity. If the beneficial owner cannot be identified or the above-mentioned criteria are not fulfilled, beneficial owners are members of the board or general partners. Indirect use of control means, for example, a situation where a client which is a legal person is owned by another legal person which is effectively controlled by a natural person. Indirect ownership or use of voting rights allows use of effective control over the legal person through various, minor indirect ownerships or use of voting rights which together form a holding or voting rights of more than 25%.

As a general rule, information on beneficial owners is not required for listed companies (including the First North list). If the entity has no beneficial owner or if the beneficial owner cannot be identified, the beneficial owner is the board of directors or general partner, the chief executive officer or any other person in a similar position.

If another company has ownership or control, a statement describing the company's Group structure, and a statement describing the beneficial owners, if any, of the company or entity with ownership or control are required.

The beneficial owner must attach a copy of their passport or other official and valid document providing confirmation of identity. Evli Group processes personal data in compliance with the data protection legislation in force, especially in accordance with the EU General Data Protection Regulation and specific legislation for the financial industry and ensures the realization of privacy protection and obligation of secrecy in the processing of personal data. Client data register available at [Evli | Processing of personal data](#)

In addition, Evli is required by other legislation to identify those companies and entities whose owners are passive non-financial entities, whose fiscal residence for tax purposes of one or more of those who exercise control is outside Finland, and to report information on these accounts to the Tax Administration (FATCA and CRS/DAC2 regulations). The Tax Administration will pass on the information to the authorities, if necessary.

First names of the beneficiary

Last name of the beneficiary

Does the person have a Finnish personal identity code?

Yes Finnish personal identity code

No Date of birth

Is the person liable to tax in Finland?

Yes

No

Is the person liable to tax outside of Finland?

Country of tax residence

Tax identification number

Country of tax residence

Tax identification number

Country of tax residence

Tax identification number

Reason for control

Ownership or voting rights

Member of the supervisory board

Other

Board member

General partner

Stake / percentage of votes %

Nationality / nationalities

Country of residence

Country of birth

Address of permanent residence

Street address

Postal code

City, state

Country

The person is or has been a politically exposed person (PEP) in Finland or outside Finland, or a family member or close business partner of such a person. **No**

Yes, the person is a politically exposed person (PEP)

Position

Country of office

Yes, the person is one of the following of a politically exposed person (PEP)

Spouse

A child or a child's spouse

Parent

Close business partner

Name of PEP

Date of birth of PEP

Position

Country of office

UNDERSKRIFT

Date	Signature and name in block letters	Signature and name in block letters
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